

Export LC Liquidation User Guide
Oracle Banking Trade Finance Process Management
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Oracle Banking Trade Finance Process Management - Export LC Liquidation User Guide
Oracle Financial Services Software Limited

Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India
Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.
- Help users to conveniently create and process trade finance transaction

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline their trade finance operations. OBTFPM facilitates its customers to initiate new Trade transactions/handle response to existing transaction through Trade portals, or by visiting the branch or through SWIFT.

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Liquidation

Export LC liquidation process enables the user to liquidate of drawings under an Export LC.

This section contains the following topics:

Common Initiation Stage	Reject Approval
Registration	Data Enrichment
Exceptions	Multi Level Approval

Common Initiation Stage

The user can initiate the new export LC liquidation request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.
2. Click **Trade Finance > Initiate Task**.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
Drawing Reference Number	Select the Drawing Reference Number.
Branch	Select the branch.

Action Buttons

Use action buttons based on the description in the following table:

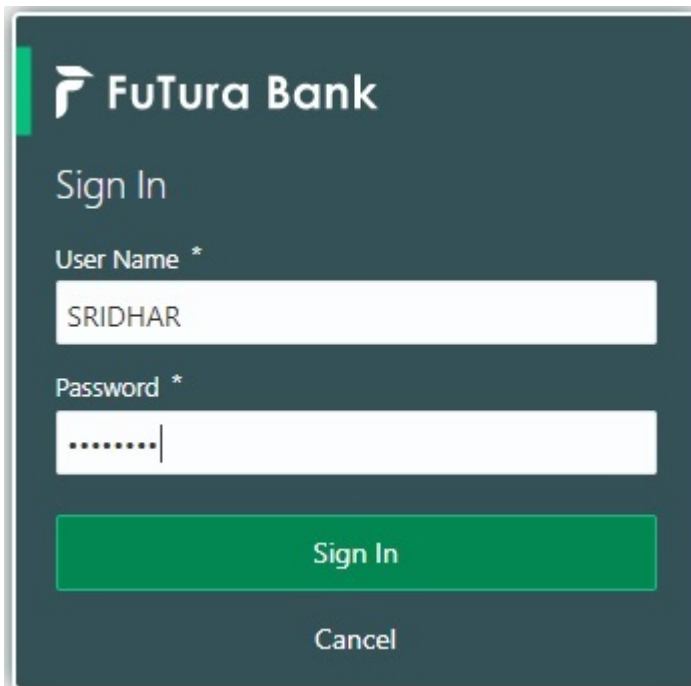
Field	Description
Proceed	Task will get initiated to next logical stage.

Field	Description
Clear	The user can clear the contents update and can input values again.

Registration

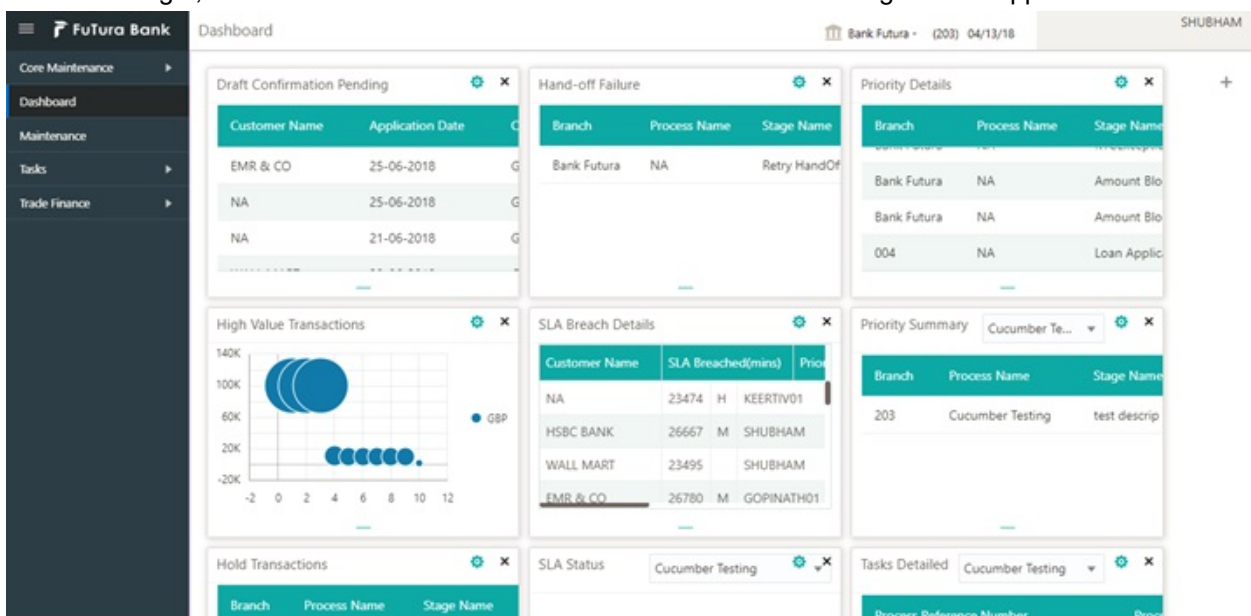
The process starts from Registration stage, during Registration stage, user can capture the basic details of the transaction and upload related documents. On submit of the request the request will be available for an LC expert to handle the liquidation request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows the 'FuTura Bank' login interface. It features a dark blue header with the bank's logo and name. Below the header, the text 'Sign In' is displayed. There are two input fields: 'User Name *' with the value 'SRIDHAR' and 'Password *' with masked characters. A green 'Sign In' button and a 'Cancel' button are at the bottom.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Export - Documentary Credit> Export LC Liquidation.

The screenshot displays the FuTura Bank Dashboard with the following components:

- Left Navigation Menu:** Includes Core Maintenance, Dashboard, Maintenance, Security Management, Tasks, Trade Finance, Bank Guarantee Advice, Bank Guarantee Issuan..., Export - Documentary..., Export LC Update Draw..., Export LC Advise, Export LC Amendment, Export LC Amendment..., **Export LC Cancellation** (highlighted with a red box), Export LC Drawing, Export LC Liquidation, Import - Documentary..., Import - Documentary..., and Swift Processing.
- Dashboard Header:** Shows "FBN UK (GS1)" and "Feb 1, 2019".
- High Value Transactions:** A bubble chart showing transactions for USD, GBP, and EUR. The y-axis ranges from 0 to 300K, and the x-axis ranges from 0 to 12.
- SLA Breach Details:** A table showing SLA breaches for NESTLE and EMR & CO.

Customer Name	SLA Breached(mins)	Pri
NESTLE	2621	M
EMR & CO	1371	M
EMR & CO	1500	M
NESTLE	2945	H
- SLA Status Summary:** A table showing the status of Import Documentary Collections.

Process Name	Stage Name
Import Documentary Collections	Registration
Import Documentary Collections	Scrutiny
Import Documentary Collections	Data Enrichm
- Draft Confirmation Pending:** A table showing pending draft confirmations.

Customer Name	Application Date	Currency	Amount	Process Reference Number	Process Name	Branch Name
NESTLE	29-11-2019	USD	12345	GS1ILCI000006082	Import LC Issuance	FBN UK
EMR & CO	15-11-2019	GBP	100000	GS1ILCI000005232	Import LC Issuance	FBN UK
NESTLE	05-11-2019	GBP	1200	GS1ILCI000004706	Import LC Issuance	FBN UK
- Priority Summary:** A table showing the priority summary for Import Documentary Collections.

Branch	Process Name	Stage Name	No of High Priority Items	No of Medium Priority Items	No of Low Priority Items
GS1	Import Documentary Collections	Registration	0	10	0

The Registration stage has two sections Basic Details and Liquidation Details. Let's look at the details of Registration screens below:

Application Details

Free Tasks

FBN UK (GS1)
Feb 1, 2019
SRIDHAR01
subnam@gmail.com

Export LC Liquidation

Documents Remarks

Application Details

Drawing Reference Number *
GS1EAPS190323516

Export LC Reference Number
GS1ELAC19032ANJM

Beneficiary
000262 EMR & CO

Branch
GS1-FBN UK

ProcessReferenceNumber
GS1ELCL000006484

Priority
Medium

Submission Mode
Desk

Liquidation Date
Feb 1, 2019

View LC Events

Liquidation Details

Tenor
Usance

Drawing Date
Feb 1, 2019

Presenting Bank

Additional Amount

Document Received
First

Issuing Bank
000261 HSBC BANK

Presenting Bank Reference Number

Nego/Finance Amount

Product Code
EAPS

Issuing Bank Reference
25719

LC Drawing Amount
GBP £100.00

Liquidation Amount *

Product Description
OUTGOING DOCUMENTARY USANCE E

Expiry Date
Aug 31, 2019

Outstanding Amount
GBP £100.00

Unlinked FX Rate

Hold Cancel Save & Close Submit

Provide the Basic Details based on the description in the following table:

Field	Description	Sample Values
Drawing Reference Number	Provide the drawing reference number. Alternatively, user can search the Drawing reference number using LOV. In the LOV, user can input Drawing Reference Number, Applicant, Beneficiary, Issue Date, Currency, Amount and User Reference to fetch the drawing details. Based on the search result, select the applicable LC to add the applicant response.	
Export LC Reference Number	Read only field. Export LC Reference Number will be auto-populated based on the selected LC from the LOV.	
Beneficiary	Read only field. Beneficiary ID and name will be auto-populated based on the selected LC from the LOV.	
Branch	Read only field. Branch details will be auto-populated based on the selected LC from the LOV.	203-Bank Futura -Branch FZ1
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	

Field	Description	Sample Values
Priority	System will populate the priority of the customer based on priority maintenance. If priority is not maintained for the customer, system will populate 'Medium' as the default priority. User can change the priority populated any time before submit.	High
Submission Mode	Select the submission mode of Export LC Drawing request. By default the submission mode will have the value as 'Desk'. Desk- Request received through Desk Courier- Request received through Courier	Desk
Liquidation Date	By default, the application will display branch's current date and does not enables the user to change the date to any back date.	04/13/2018

Liquidation Details

Registration user can provide liquidation details in this section. Alternately, details can be provided by Data Enrichment user.

The screenshot displays the Oracle Export LC Liquidation form. The top navigation bar includes the Oracle logo, 'Free Tasks', and user information for SRIDHARQ2. The form is divided into two main sections: 'Application Details' and 'Liquidation Details'.

Application Details:

- Drawing Reference Number: PK2EAY2191262002
- Process Reference Number: PK2ELCL000057154
- Export LC Reference Number: PK2ELAC19126A7PY
- Priority: Medium
- Beneficiary: 001044 GOODCARE PLC
- Submission Mode: Desk
- Branch: PK2-FLEXCUBE UNIVERSAL BANK
- Liquidation Date: May 6, 2019

Liquidation Details:

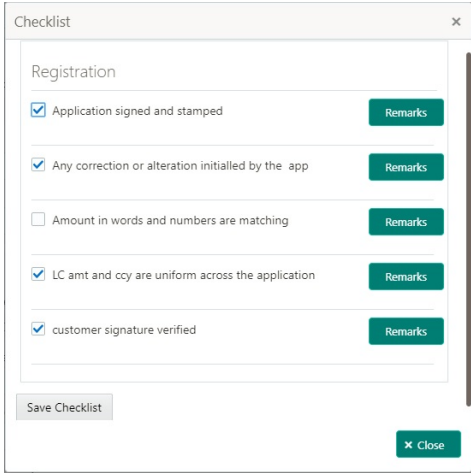
- Tenor Type: Usance
- Drawing Date: May 6, 2019
- Presenting Bank: [Empty]
- Outstanding Amount: GBP £500,000.00
- Unlinked FX Rate: [Empty]
- Documents Received: [Empty]
- Issuing Bank: 003763 CITIBANK IRELA
- Presenting Bank Reference Number: [Empty]
- Additional Amount: [Empty]
- Product Code: EAY2
- Issuing Bank Reference: 456565677
- Drawing Amount: GBP £500,000.00
- Nego/Finance Amount: [Empty]
- Product Description: OUTGOING USANCE DOCUMENTARY BI
- Date of Expiry: Aug 4, 2019
- Amount In Local Currency: GBP £500,000.00
- Liquidation Amount: GBP [Empty]

Buttons at the bottom include 'Hold', 'Cancel', 'Save & Close', and 'Submit'.

Provide the Liquidation Details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Read only field. This field displays the tenor of the drawing.	
Documents Received	Read only field. This field displays the documents received details of the drawing.	

Field	Description	Sample Values
Product Code	Read only field. This field displays the product code of the drawing.	
Product Description	Read only field. This field displays the description of the product as per the product code.	
Drawing Date	Read only field. This field displays the drawing date details of the drawing.	
Issuing Bank ID	Read only field. This field displays the issuing bank ID of the drawing.	
Issuing Bank Name	Read only field. This field displays the issuing bank Name of the drawing.	
Issuing Bank Reference	Read only field. This field displays the issuing bank ID of the drawing.	
Date of Expiry	Read only field. This field displays the expiry date of the drawing.	
Presenting Bank	Read only field. Presenting Bank will be auto-populated based on the selected LC from the LOV.	
Presenting Bank Reference Number	Read only field. Presenting Bank Reference number will be auto-populated based on the selected LC from the LOV.	
Drawing Amount	Read only field. This field displays the LC Drawing Amount as per the selected drawing.	
Amount in Local Currency	Read only field. This field displays the Local currency and amount.	
Outstanding LC Value	Read only field. Outstanding LC value will be auto-populated. This field displays the value as per the latest LC.	
Additional Amount	Read only field. Additional Amount will be auto-populated. This field displays the value as per the latest LC.	

Field	Description	Sample Values
Submit	On submit, task will get moved to next logical stage of Export LC Liquidation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Export LC Liquidation Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Checklist	<p>Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit.</p> 	

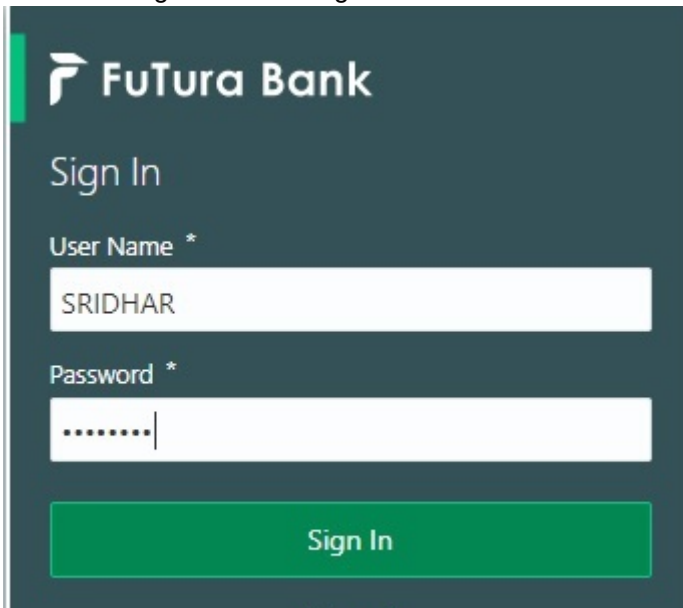
Data Enrichment

On successful completion of Registration of an Export LC Liquidation request, the request moves to Data Enrichment stage. At this stage the gathered information during Registration are scrutinized.

During the submit at the end of this stage, an amount block will be created in the settlement account for the amount to be liquidated. Customer cannot use the amount for which the amount block is created until the transaction is completed.

Do the following steps to acquire a task currently at Data Enrichment stage:

1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



FuTura Bank

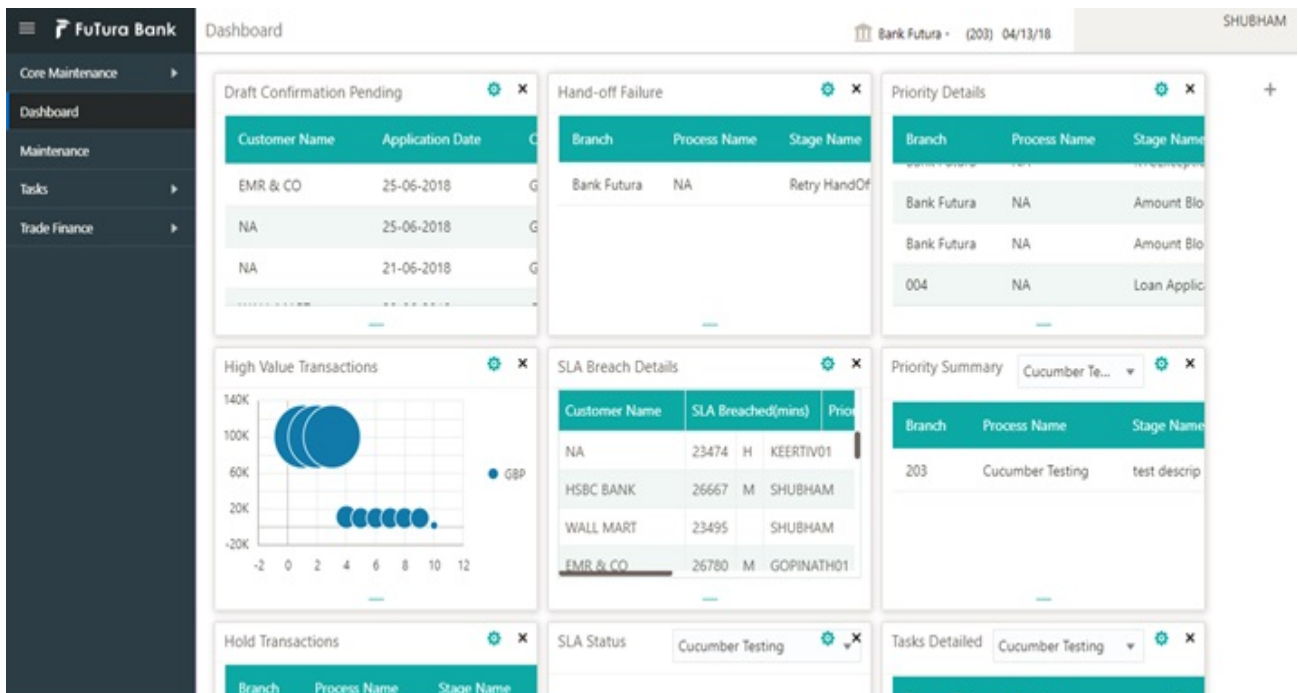
Sign In

User Name *
SRIDHAR

Password *
.....

Sign In

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



FuTura Bank Dashboard

Bank Futura • (203) 04/13/18 SHUBHAM

Core Maintenance Dashboard Maintenance Tasks Trade Finance

Draft Confirmation Pending

Customer Name	Application Date	Stage Name
EMR & CO	25-06-2018	G
NA	25-06-2018	G
NA	21-06-2018	G

Hand-off Failure

Branch	Process Name	Stage Name
Bank Futura	NA	Retry HandOf

Priority Details

Branch	Process Name	Stage Name
Bank Futura	NA	Amount Blo
Bank Futura	NA	Amount Blo
004	NA	Loan Applic

High Value Transactions

140K
100K
60K
20K
-20K

-2 0 2 4 6 8 10 12

GBP

SLA Breach Details

Customer Name	SLA Breached(mins)	Prio
NA	23474	H KEERTIV01
HSBC BANK	26667	M SHUBHAM
WALL MART	23495	SHUBHAM
EMR & CO	26780	M GOPINATH01

Priority Summary Cucumber Te...

Branch	Process Name	Stage Name
203	Cucumber Testing	test descrip

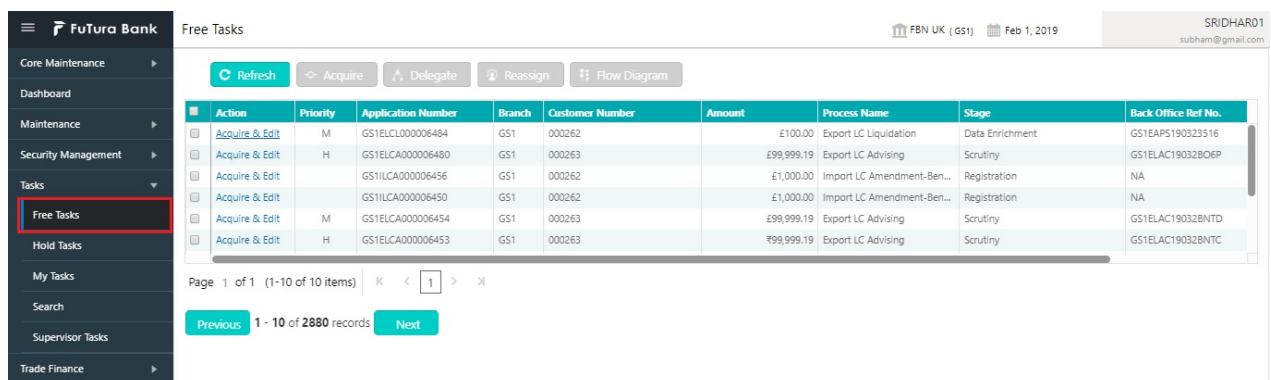
Hold Transactions

Branch	Process Name	Stage Name
--------	--------------	------------

SLA Status Cucumber Testing

Tasks Detailed Cucumber Testing

3. Click **Trade Finance> Tasks> Free Tasks**.



FuTura Bank Free Tasks

FBN UK (GS1) Feb 1, 2019 SRIDHAR01 subham@gmail.com

Refresh **Acquire** **Delegate** **Reassign** **Flow Diagram**

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCL000006484	GS1	000262	£100.00	Export LC Liquidation	Data Enrichment	GS1EAP5190323516
Acquire & Edit	H	GS1ELCA000006480	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032806P
Acquire & Edit		GS1ILCA000006456	GS1	000262	£1,000.00	Import LC Amendment-Ben...	Registration	NA
Acquire & Edit		GS1ILCA000006450	GS1	000262	£1,000.00	Import LC Amendment-Ben...	Registration	NA
Acquire & Edit	M	GS1ELCA000006454	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328BND
Acquire & Edit	H	GS1ELCA000006453	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328BNTC

Page 1 of 1 (1-10 of 10 items) **Previous** 1 - 10 of 2880 records **Next**

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

Free Tasks

Refresh Acquire Delegate Reassign Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Acquire & Edit	M	GS1ELCL000006484	GS1	000262	£100.00	Export LC Liquidation	Data Enrichment	GS1EAPS190323516
Acquire & Edit	H	GS1ELCA000006480	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC19032806P
Acquire & Edit		GS1ILCA000006456	GS1	000262	£1,000.00	Import LC Amendment-Ben...	Registration	NA
Acquire & Edit		GS1ILCA000006450	GS1	000262	£1,000.00	Import LC Amendment-Ben...	Registration	NA
Acquire & Edit	M	GS1ELCA000006454	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NTD
Acquire & Edit	H	GS1ELCA000006453	GS1	000263	£99,999.19	Export LC Advising	Scrutiny	GS1ELAC190328NTC

Page 1 of 1 (1-10 of 10 items) Previous 1 - 10 of 2880 records Next

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

My Tasks

Refresh Release Flow Diagram

Action	Priority	Application Number	Branch	Customer Number	Amount	Process Name	Stage	Back Office Ref No.
Edit	M	GS1ELCL000006484	GS1	000262	£100.00	Export LC Liquidation	Data Enrichment	GS1EAPS190323516

Page 1 of 1 (1 of 1 items) Previous 1 - 1 of 1 records Next

The Data Enrichment stage has following hops for data capture:

- Main Details
- Maturity Details
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for liquidation stage. User can enter/update the following fields. Some of the fields that are already having value from Registration/online channels may not be editable.

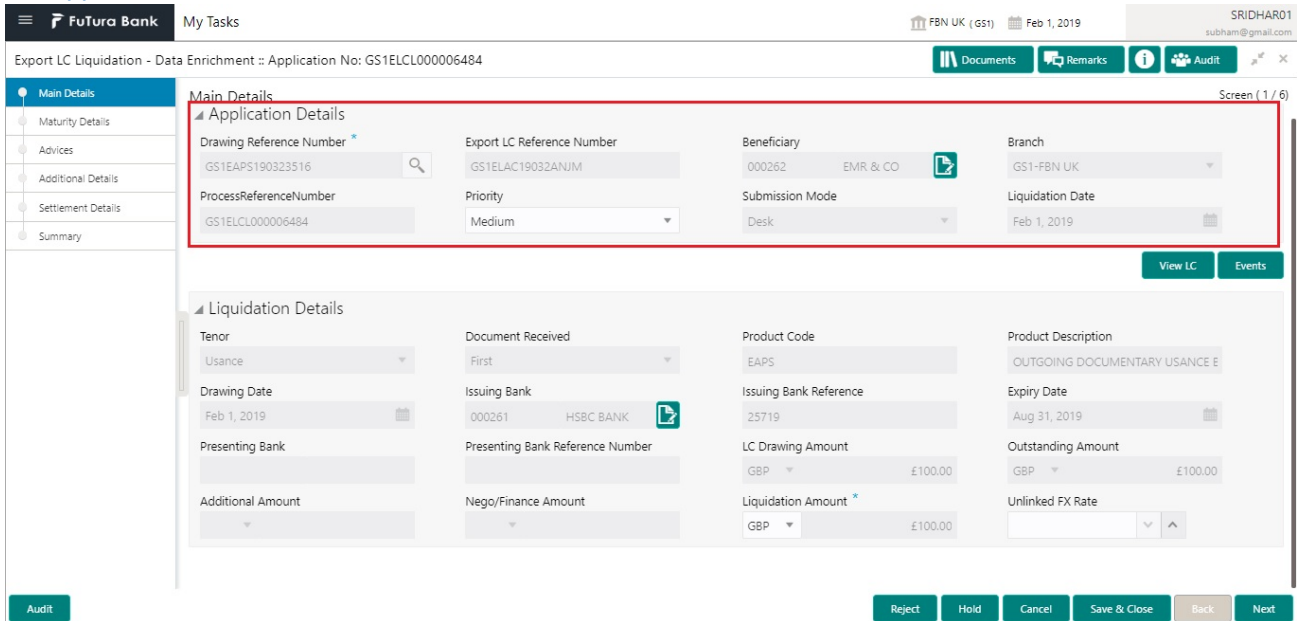
Main Details

Main details section has two sub section as follows:

- Application Details
- Liquidation Details

Application Details

All fields displayed under Basic details section, would be read only except for the **Priority**. Refer to [Application Details](#) for more information of the fields.



The screenshot shows the 'Application Details' section of the FuTura Bank interface. The form is divided into two main parts: 'Application Details' and 'Liquidation Details'. The 'Application Details' section is highlighted with a red border and contains the following fields:

Field	Value
Drawing Reference Number *	GS1EAPS190323516
Export LC Reference Number	GS1ELAC19032ANJM
Beneficiary	000262 EMR & CO
Branch	GS1-FBN UK
ProcessReferenceNumber	GS1ELCL000006484
Priority	Medium
Submission Mode	Desk
Liquidation Date	Feb 1, 2019

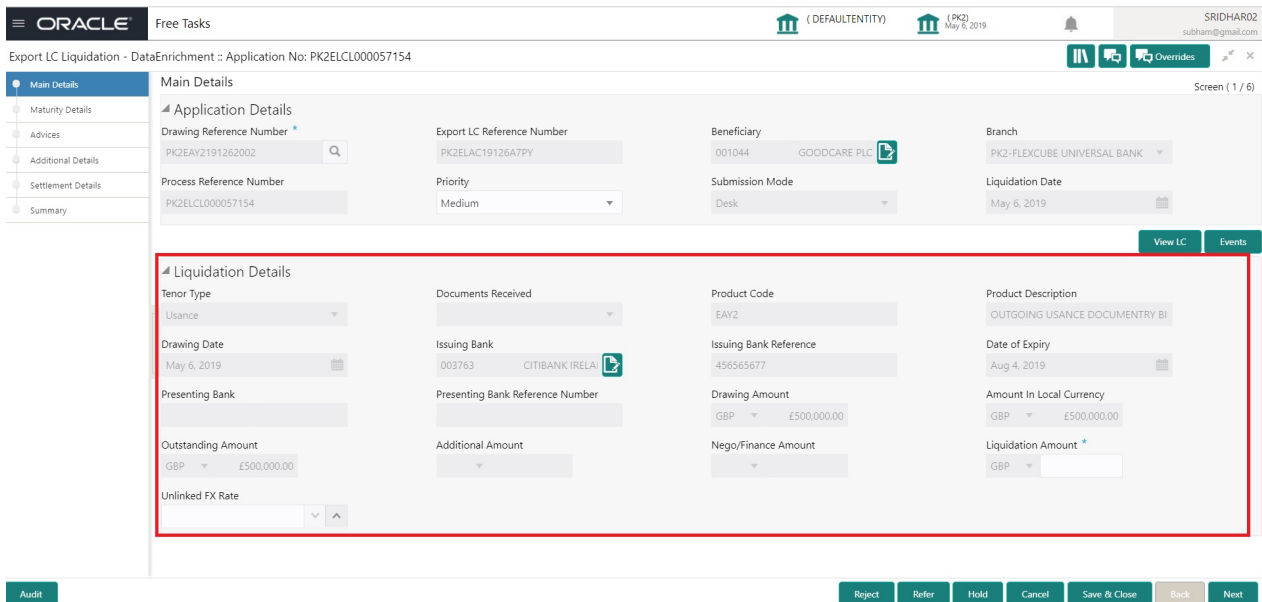
The 'Liquidation Details' section contains the following fields:

Field	Value
Tenor	Usance
Document Received	First
Product Code	EAPS
Product Description	OUTGOING DOCUMENTARY USANCE E
Drawing Date	Feb 1, 2019
Issuing Bank	000261 HSBC BANK
Issuing Bank Reference	25719
Expiry Date	Aug 31, 2019
Presenting Bank	
Presenting Bank Reference Number	
LC Drawing Amount	GBP £100.00
Outstanding Amount	GBP £100.00
Additional Amount	
Nego/Finance Amount	
Liquidation Amount *	GBP £100.00
Unlinked FX Rate	

The form includes a sidebar with navigation links: Main Details, Maturity Details, Advices, Additional Details, Settlement Details, and Summary. At the bottom, there are buttons for 'Audit', 'Reject', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Liquidation Details

The fields listed under this section are same as the fields listed under the [Liquidation Details](#) section in [Registration](#). Refer to [Liquidation Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.



The screenshot shows the 'Application Details' section of the ORACLE interface. The form is divided into two main parts: 'Application Details' and 'Liquidation Details'. The 'Application Details' section is highlighted with a red border and contains the following fields:

Field	Value
Drawing Reference Number *	PK2EAY2191262002
Export LC Reference Number	PK2ELAC19126A7PY
Beneficiary	001044 GOODCARE PLC
Branch	PK2-FLEXCUBE UNIVERSAL BANK
Process Reference Number	PK2ELCL000057154
Priority	Medium
Submission Mode	Desk
Liquidation Date	May 6, 2019

The 'Liquidation Details' section contains the following fields:

Field	Value
Tenor Type	Usance
Documents Received	
Product Code	EAY2
Product Description	OUTGOING USANCE DOCUMENTRY BI
Drawing Date	May 6, 2019
Issuing Bank	003763 CITIBANK IRELA
Issuing Bank Reference	456565677
Date of Expiry	Aug 4, 2019
Presenting Bank	
Presenting Bank Reference Number	
Drawing Amount	GBP £500,000.00
Amount In Local Currency	GBP £500,000.00
Outstanding Amount	GBP £500,000.00
Additional Amount	
Nego/Finance Amount	
Liquidation Amount *	GBP
Unlinked FX Rate	

The form includes a sidebar with navigation links: Main Details, Maturity Details, Advices, Additional Details, Settlement Details, and Summary. At the bottom, there are buttons for 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>The user can view the uploaded documents. Application will display the mandatory and optional documents.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted	
View LC	Enables user to view the details of the underlying LC.	
Events	Enables the user to view the latest snap shot of the various events under the LC.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request.</p>	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Maturity Details

Provide the maturity details based on the description in the following table:

Field	Description	Sample Values
Tenor Type	Read only field. This field displays the tenor type as per LC.	
Tenor Basis	Provide the tenor basis.	
Tenor Start Date	Provide the tenor start date.	
Tenor Days	Provide the number of tenor days	
Transit Days	Provide the transit days, if the tenor is sight.	
Maturity date	System displays the due date for the drawing based on tenor and tenor basis. If tenor is sight, system will calculate the maturity date as 5 working days from document Received date. User can change this value to any date earlier than the maturity date up to system date. User cannot change the value to later than maturity date. If tenor is Usance, system will calculate the maturity date based on the tenor basis and populate the maturity date.	
Usance Interest Rate	Update the usance interest (if any).	

Field	Description	Sample Values
Usance Interest Amount	Update the usance interest amount for the LC value as per the tenor basis.	

In case of multi tenor, user can provide multiple maturity details by clicking the plus icon.

Field	Description	Sample Values
Interest from Date	Select the interest from date. The interest from date cannot be earlier than branch date and later than maturity date.	
Interest To Date	Select the interest to date. The interest to date cannot be earlier than branch date and later than maturity date.	
Accept Commission From Date	Update the acceptance commission start date.	
Accept Commission To Date	Update the acceptance commission to date.	

Other Bank Charges

Provide the other bank charges based on the description in the following table:

Field	Description	Sample Values
Other Bank Charges - 1	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 2	Charges to be collected for the other bank as part of the drawings transaction.	
Other Bank Charges - 3	Charges to be collected for the other bank as part of the drawings transaction.	
Debit Value Date	Provide the debit value date.	
Credit Value Date	Provide the credit value date.	
Value Date	Provide the value date.	
Allow Pre-Payment	Toggle On: Set the toggle 'On' to enable pre-payment. Toggle Off: Set the toggle 'Off' to disable pre-payment.	
Refund Interest	Toggle On: Set the toggle 'On' to refund the interest. Toggle Off: Set the toggle 'Off' to deny the interest refund.	

Field	Description	Sample Values
Transfer Collateral from LC	<p>Toggle On: Set the toggle 'On' to enable the transfer collateral from LC.</p> <p>Toggle Off: Set the toggle 'Off' to disable the transfer collateral from LC.</p>	

Advices

The screenshot displays the 'Advices' section of the Futura Bank application. The left sidebar contains a list of navigation items: Main Details, Maturity Details, Advices (highlighted), Additional Details, Settlement Details, and Summary. The main content area shows two advice tiles. The first tile, 'Advice : DISCREPANCY_REQ', lists details for a discrepancy request from HSBC BANK. The second tile, 'Advice : PAYMENT_MESSAGE', lists details for a payment message. Both tiles include fields for Advice Name, Advice Party, Party Name, and Suppress Advice. The top of the interface shows the application context 'Export LC Liquidation - Data Enrichment :: Application No: GS1ELCL000006484' and the user 'SRIDHAR01'. A bottom navigation bar includes buttons for 'Audit', 'Reject', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Advices menu displays the advices from the back office as tiles. User can edit the fields in the tile, if required.

Additional Details

FuTura Bank

My Tasks

FBN UK (GS)

Feb 1, 2019

SRIDHAR01
suthiam@gmail.com

Export LC Liquidation - Data Enrichment :: Application No: GS1ELCL000006484

Documents

Remarks

Audit

Main Details

Maturity Details

Advices

Additional Details

Settlement Details

Summary

Additional Details

Screen (4 / 6)

Limit & Collateral

Limit Currency :
Limit Contribution :
Limit Status :
Collateral Currency :
Collateral Contribution :
Collateral Status :

Charge Details

Charge :
Commission :
Tax :
Block Status : **Not Initiated**

Payment Details

Immediate Required :
Immediate Accept :
Reim Claimed :

FX Linkage

Reference Number :
Currency :
Amount :

Loan Preference

Loan Tenor :
Loan Maturity :
Loan Amount :

Preview Messages

Draft Message :
Incoming Message :

Audit

Reject

Hold

Cancel

Save & Close

Back

Next

Limits & Collateral

Limit & Collateral

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message
<input type="checkbox"/> 001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message
<input type="checkbox"/> Cash Collateral	10	GBP	£2,000.00	2030013450000000010	Available	The amount block can be perf

Save & Close
Cancel

Limit Details

Customer ID
001345

Contribution %
100

Contribution Currency
GBP

Limit Currency
GBP

Limit Check Response
Available

Line ID
001345

Limits Description

Contribution Amount
£20,000.00

Limit Available Amount

Response Message
The Earmark can be performed as the f

Verify

Save & Close
Cancel

Field	Description	Sample Values
Limit Details	Read only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	Read only field. LINE ID-DESCRIPTION will be available along with Line ID.	

Field	Description	Sample Values
Contribution	System will default this to 100%.	
Contribution Currency	The LC currency will be defaulted in this field.	
Contribution Amount	Contribution amount will default based on the contribution%.	
Limit Currency	Limit Currency will be defaulted in this field.	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Response can be 'Success' or 'Limit not Available'.	
Response Message	Detailed Response message.	

This section displays the collateral details:

Limit & Collateral

Limit Details

Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	
<input type="checkbox"/>	001345	001345	100	GBP	£20,000.00	Available	The Earmark can be performed as the fa

Collateral Details

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	
<input type="checkbox"/>	Cash Collateral	10	GBP	£2,000.00	20300134500000000010	Available	The amount block can be perfo

Save & Close
Cancel

Collateral Details

Collateral Type *

Cash Collateral

Collateral % *

20

Currency

GBP

Contribution Amount *

£4,000.00

Settlement Account *

20300134600000000017

Settlement Account Branch

203

Settlement Account Currency

GBP

Account Available Amount

£998,926,760.53

Response

Available

Response Message

The amount block can be performed as:

Verify

Save & Close
Cancel

Field	Description	Sample Values
Collateral Type	Cash Collateral (CASA) will be the default value available as collateral type. Selected collateral type will be displayed in this field.	
Collateral%	This field displays the percentage of collateral.	
Currency	The LC currency will get defaulted in this field.	
Contribution Amount	Collateral contribution amount will get defaulted in this field.	

Field	Description	Sample Values
Settlement Account	This field displays the details of settlement account for then collateral.	
Settlement Account Branch	Settlement Account Branch will be auto-populated based on the Settlement Account selection.	
Settlement Account Currency	This field displays the Settlement Account Currency.	
Account Available Amount	Account Available Amount will be auto-populated based on the Settlement Account selection.	
Response	Response can be 'Success' or 'Amount not Available'.	
Response Message	Detailed Response message.	

Charge Details

This section displays charge details:

Charge Details

Recalculate
Redefault

Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LCCOURISS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
LCSWIFTIS	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017
OTHBNKCHG	GBP	£50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	Applicant	20300134600000000017

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
-----------	------	----------	--------	----------	-------	-------

Save & Close
Cancel

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	

Field	Description	Sample Values
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be applicant by default. You can change the value to beneficiary	
Settlement Account	Details of the settlement account.	

This section displays the commission details:

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00		<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Save & Close
Cancel

Field	Description	Sample Values
Component	This field displays the commission component.	
Rate	Defaults from product.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If check box is selected, charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	Based on the customer maintenance, the charges/commission can be marked for Billing or Defer. If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

This section displays the tax details:

Charge Details

Commission Details

Component	Rate	Currency	Amount	Modified	Defer	Waive
AILS_N_COMM	1.5	GBP	\$1,900.00		<input type="checkbox"/>	<input type="checkbox"/>

Tax Details

Component	Currency	Amount	Settlement Account
LCTAX2	GBP	95	20300134600000000017
LCTAX	GBP	1600	20300134600000000017
LCTAX1	GBP	0	20300134600000000017

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Settlement Account	Details of the settlement account.	

Payment Details

Payment Details

PaymentDetails

Auto Liquidate
☒

Reimbursing Bank

Preshipment Credit Outstanding Amount

Preshipment Credit Availed
☐

Reimbursement Days

Preshipment Credit Repayment Amount

Reimbursement Claimed
☐

Preshipment Credit A/C No.

Liquidate using Collateral
☐

Repay Preshipment Credit
☐

Reimbursement Date

Split Settlement
☒

Settlement Details - Liquidation

Component	Currency	Debit/Credit	Account	Account Description	Branch	Account Currency
AMT_PURCHASED	GBP	Debit	000356780180	keerthy01	000	GBP
AMT_PURCHASEDEQ	GBP	Credit	000356780180	keerthy01	000	GBP

Split Settlement

Component	Contract Currency	Amount
No data to display.		


Split Settlement Details

+ - Fetch Exchange Rate

Save & Close Cancel

Provide payment details based on the description in the following table:

Field	Description	Sample Values
Auto Liquidation Required	Toggle On: Select Yes, if auto liquidation is required. It is applicable only if the drawing is without discrepancy. Toggle Off: Select No if auto liquidation is not required.	
Pre-shipment Credit Availed	Toggle On: Select Yes, if pre-shipment credit is availed. Toggle Off: Select No if pre-shipment credit is not availed.	
Reimbursement Claimed	Switch on the toggle if the reimbursement is already claimed. This field is applicable only if reimbursement is applicable and LC has reimbursement bank details.	
Repay Pre-shipment Credit	Toggle On: Select Yes, if pre-shipment credit to be repaid. Toggle Off: Select No if there is no pre-shipment credit to be repaid.	
Reimbursing Bank	Read only field. Reimbursing bank details gets defaulted from the LC.	



Note

If the user selects another bank and in case the selected Bank is not RMA Compliant, the system displays error message "RMA arrangement not available".

Field	Description	Sample Values
Reimbursement Days	Provide the number of days before the maturity date on which the reimbursement claim needs to be triggered.	
Pre-shipment Credit Account Number	This field displays the pre-shipment credit account number.	
Reimbursement Date	This field will be enabled only if Reimbursement Claimed is 'Yes'. Enables user to capture the reimbursement date. If reimbursement date is later than the branch date, system will display an error.	
Pre-shipment Credit Outstanding Amount	This field displays the pre-shipment credit outstanding amount.	
Pre-shipment Credit Repayment Amount	This field displays the pre-shipment credit repayment amount.	
Liquidate using Collateral	Switch On the toggle to liquidate using collateral.	
Split Settlement	Toggle On: Enables the user to select more than one account for settlement (Split Settlement) for the liquidation of an import or export drawing or collection bill Toggle Off: Disables the user to select more than one account for settlement (Split Settlement) for the	Disable
Settlement Details - Liquidation		
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Branch	Application displays the branch of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Split Settlement		
Component	Components gets defaulted based on the product selected.	

Field	Description	Sample Values
Currency	Application displays the default currency for the component.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	
Split Settlement Details		
Select	Check box to select the record	
Sequence	Sequence of the settlement details.	
Amount	Amount for the split settlement.	
Settlement Currency	Currency for the split settlement.	
Account Customer	Customer account.	
Account Currency	Currency of the account.	
Account Branch	Branch of the customer's account.	
Exchange Rate	Exchange rate for the split settlement.	


FX Linkage

This section enables the user to link the existing FX contract(s) to the drawing. User can link one or more FX deals to a drawing/bill. The linked value of an FX deal(s) must not exceed the value of the drawing/bill.

FX contract linkage with the Drawing/Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the drawing/bill.

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
Drawing Currency	Read only field. This field displays the currency details from the drawing.	

Field	Description	Sample Values
Drawing Amount	Read only field. This field displays the drawing amount from the drawing.	
Drawing Maturity Date	System to display the due date for the drawing in case of a Usance bill. In case of a sight bill, the system should display the current branch date as Maturity Date (for processing immediate payment).	
Contract Reference Number	Select the FX contract from the LOV.	
Contract Currency	This field displays the currency of the FX contract.	
Contract Amount	This field displays the amount of the FX contract.	
Contract Available Amount	This field displays the unlinked/ available amount under the FX contract.	
Linkage Amount	<p>If FX contract is linked already for the underlying LC, system will display the amount linked. If FX contract is not linked, user can provide the Linkage amount.</p> <div>  <p>Note The linkage amount must not be more than the drawing amount.</p> </div>	
Rate	This field displays the rate at which the contract is booked.	
Amount in Contract Currency	This field displays the amount in contract currency converted from FX currency.	
Average FX Rate	Average rate for more than one contract if linked.	

Loan Preference

This section enables the user to request for a loan to liquidate the drawing under the LC. This section will be enabled based on the product selected for booking the drawing under the LC.

Loan Preference

Loan Preferences

Product

INAC

Loan Amount Required in Drawing Currency

GBP£10,000.00

Loan Currency-Amount

GBP£10,000.00

Customer Id

001344

Credit Line

001344

Loan Maturity Date

Oct 26, 2019

Customer Name

EMR & CO

Loan Tenor

12

Drawing Currency

GBP£20,000.00

Exchange Rate

10

Save & Close

Cancel

Provide the loan preference details based on the description in the following table:

Field	Description	Sample Values
Product	Read only field. This field displays the loan product linked to the drawing product.	
Customer ID	Read only field. This field displays the customer ID of the applicant/applicant bank.	
Customer Name	Read only field. This field displays the applicant/applicant bank name.	
Drawing Currency	Read only field. This field displays the currency for the drawing.	
Loan Amount Required in Drawing Currency	Application defaults the drawing outstanding amount and enables the user to reduce the amount.	
Credit Line	Enables the user to select the Line to be utilized. In case of multiple lines, user must be able to attach the required number of lines.	
Loan Tenor	Application defaults the loan tenor based on the product.	
Exchange Rate	This field will be enabled only if the Drawing currency and Loan Currency are different. If FX linkage is available, system to display the Exchange rate from FX linkage. System will display the card rate, if FX linkage is not applicable.	
Loan Currency-Amount	Select the currency for the loan amount.	

Field	Description	Sample Values
Loan Maturity Date	System defaults the date based on the Loan value date and Loan tenor. User cannot change the value.	

Preview

User can view the draft preview of the advise.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	The user can view the uploaded documents. Application will display the mandatory and optional documents.	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted	
View LC	Enables user to view the details of the underlying LC.	
Events	Enables the user to view the latest snap shot of the various events under the LC.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Settlement Details

Provide the settlement details based on the description in the following table:

Screen (4 / 6)

Settlement Details

▲ Settlement Details

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator
BCCOUR_LIQD	KWD	Debit	GS100002620016	EMR & CO	GBP	
BCLIQCG_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BCOPNGG_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BCSWIFT_LIQD	GBP	Debit	GS100002620016	EMR & CO	GBP	
BILL_AMOUNT	GBP	Credit	GS100002610012	HSBC BANK	GBP	No
BILL_AMT_EQUIV	GBP	Credit	GS100002610012	HSBC BANK	GBP	No
BILL_LIQ_AMT	GBP	Credit	GS100002610012	HSBC BANK	GBP	
BILL_LIQ_AMTEQ	GBP	Debit	GS100002620016	EMR & CO	GBP	
BKTAX_AMT	GBP	Debit	GS100002620016	EMR & CO	GBP	

▲ BCCOUR_LIQD - Party Details

Transfer Type None ▾	Charge Details Remitter All Charges ▾	Netting Indicator Select ▾	Ordering Customer <input type="text"/> Name/Account
Ordering Institution <input type="text"/> Name/Account	Senders Correspondent <input type="text"/> Name/Account	Receivers Correspondent <input type="text"/> Name/Account	Intermediary Institution <input type="text"/> Name/Account
Account With Institution <input type="text"/> Name/Account	Beneficiary Institution <input type="text"/> Name/Account	Ultimate Beneficiary <input type="text"/> Name/Account	Intermediary Reimbursement Institution <input type="text"/> Name/Account

Payment Details

Sender To Receiver 1 <input type="text"/>	Sender To Receiver 2 <input type="text"/>	Sender To Receiver 3 <input type="text"/>	Sender To Receiver 4 <input type="text"/>
Sender To Receiver 5 <input type="text"/>	Sender To Receiver 6 <input type="text"/>		
Remittance Information			
Payment Detail 1 <input type="text"/>	Payment Detail 2 <input type="text"/>	Payment Detail 3 <input type="text"/>	Payment Detail 4 <input type="text"/>

Reject

Hold

Cancel

Save & Close

Back

Next

Field	Description	Sample Values
Component	Components gets defaulted based on the product selected.	
Currency	Application displays the default currency for the component.	
Debit/Credit	Application displays the debit/credit indicators for the components.	
Account	Application Displays the account details for the components.	
Account Description	Application displays the description of the selected account.	
Account Currency	Application defaults the currency for all the items based on the account number.	
Netting Indicator	Application displays the applicable netting indicator.	
Amount	Amount for each component. This is populated from the transaction details of the drawing.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	

Field	Description	Sample Values
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Summary

User can review the summary of details updated in Data Enrichment Export LC Liquidation request.

The tiles must display a list of fields with values. User can drill down from summary Tiles to respective data segments.

Oracle Data Enrichment - Data Enrichment - Application No: PK2ELCL000044593

Summary

Main Details

Product Code : ECUC
Currency : GBP
Amount : 60000

Maturity Details

Tenor Type : Usance
Tenor Basis :
Maturity Date : 2019-03-29

Advices

Advice 1 :
Advice 2 :

Limits and Collaterals

Limit Currency : USD
Limit Contribution :
Limit Status : Not Verified
Collateral Currency : GBP
Collateral Contr. :
Collateral Status : Not Verified

Payment Details

Immediate Accept :
Reim Claimed :

Commission, Charges and Taxes

Charge :
Commission :
Tax :
Block Status : Not Initia

FX Linkage

Reference Number :
Contract Amount :
Contract Currency :

Preview Messages

Language : ENG
Preview Message : *

Loan Preferences

Loan Product :
Credit Line :
Loan Tenor :
Loan Currency :
Loan Amount :
Loan Maturity :

Accounting Details

Event :
Account Number :
Branch :

Settlement Details

Component : LOAN LIQD
Account Number : PK20010410
Currency : GBP

Parties Details

Drawer : GOODCARE PLC
Confirming Bank : WELLS FARG
Drawee : MARKS AND

Compliance details

KYC : Not Initia
Sanctions : Not Initia
AML : Not Initia

Activate Windows
Go to Settings to activate Windows.

Audit

Request Clarification Reject Refer Hold Cancel Save & Close Back First Submit

Tiles Displayed in Summary

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Maturity Details - User can view the maturity details.
- Advices - User can view the details of advices.
- Limits and Collaterals - User can view limits and collateral details.

- Charges - User can view charge details.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- FX Details - User can view the details of FX linkage.
- Preview Messages - User can view the preview of the draft message.
- Loan Preferences - User can view the loan preference details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	The user can view the uploaded documents. Application will display the mandatory and optional documents.	
Remarks	The user can view the remarks captured in the process during earlier stages.	
Overrides	User can view the various overrides that have been generated and accepted	
View LC	Enables user to view the details of the underlying LC.	
Events	Enables the user to view the latest snap shot of the various events under the LC.	
Submit	Task will get moved to next logical stage of Export LC Liquidation. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Liquidation stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	

Exceptions

As per regulatory requirement, all tasks are scrutinized for KYC, Compliance and Sanctions. Task. The checks to external system/internal system is initiated after the Data Enrichment Stage.

The amount Block earmark and Limit Earmarks if applicable are also initiated after the Data Enrichment stage.

If a negative response is received for any of the calls, the task lands in respective exceptional queue which require further manual handling/approval.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account.

Amount Block Exception

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	Cancel the Export LC Liquidation Amount Block Exception check.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage.	
Back	Task moves to previous logical step.	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others	
Cancel	<p>Cancel the Export LC Liquidation KYC exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles must display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Authorization Re-Key (Non-Online Channel)

For non online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Liquidation Currency Code
- Liquidation Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able to see the summary tiles and the details in the screen by drill down from tiles.

The screenshot displays the 'My Tasks' section of the FuTura Bank application. A modal window titled 'Approval Rekey' is open, allowing the user to re-key critical field values. The modal includes buttons for 'Incoming Message', 'Documents', and 'Remarks'. The 'Liquidation Currency Code' is set to 'GBP' and the 'Liquidation Amount' is set to '£100.00'. The background shows a table of tasks with columns for Action, Priority, Application Number, and Process Name. The user is logged in as SRIDHAR02.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Incoming Message	Displays the incoming message, if any.	
Action Buttons		
Proceed	On proceed, the screen navigates to approval summary screen.	

Field	Description	Sample Values
Refer	User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R5 - Others 	
Cancel	Cancel the Import LC Drawing Approval Rekey.	

Summary

FuTura Bank My Tasks FBN UK (GS1) Feb 1, 2019 SRIDHAR02 subham@gmail.com

Export LC Liquidation - Approval1 :: Application No: GS1ELCL000006484

Summary Screen (1/1)

Main Details	Payment Details	Settlement Details	Limits Details
Product Code : EAPS	Immediate Accept : No	Component : LOAN_LIQD...	Limit Currency :
Currency : GBP	Reim Claimed : No	Account Number : GS10000261...	Limit Contribution :
Amount : 100		Currency : GBP	Limit Status : Not Verified
			Collateral Currency : GBP
			Collateral Contr. :
			Collateral Status : Not Verified

Party Details	Advice Details	Preview Messages	Charge
Drawee : Test25719	advice1 : PAYMENT_ME...	Language : ENG	Charge :
Drawer : EMR & CO	advice2 : DISCREPANC...	Preview Message : -	Commission :
Issuing Bank : HSBC BANK			Tax :
			Block Status : Not Initia...

Compliance
KYC : Verified
Sanctions : Verified
AML : Verified

Audit Reject Hold Refer Cancel Approve

Tiles Displayed in Summary:

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Limits and Collaterals - User can view limits and collateral details.
- Charge Details - User can view charge details.
- Advices - User can view the details of the advices.
- Preview Messages - User can view the preview of the draft message.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance/Limits• R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none">• R1- Documents missing• R2- Signature Missing• R3- Input Error• R4- Insufficient Balance- Limits• R5 - Others	
Cancel	Cancel the approval.	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Liquidation in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view details about application details and LC details.
- Party Details - User can view party details like applicant, advising bank etc.
- Beneficiary Response - User can view beneficiary response details.
- Discrepancy Details - User can view the discrepancy details of the drawing.
- Maturity Details - User can view the maturity details.
- Limits and Collaterals - User can view limits and collateral details.
- Charges - User can view charge details.
- Revolving Details - User can view revolving details on revolving LC.
- Payment Details - User can view the payment details.
- Settlement Details - User can view the settlement details.
- Preferences - User can view set loan preferences.
- FX Linkage - User can view the details of FX Linkage.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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